

PATENT APPLICATION TRANSMITTAL LETTER
(Small Entity)

Docket No.
4865-104 US

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

Xian-Ping Lu et al.

For: **NONCYCLIC 1,3-DICARBONYL COMPOUNDS AS DUAL PPAR AGONISTS WITH POTENT ANTIHYPERGLYCEMIC AND ANTIHYPERLIPIDEMIC ACTIVITY**

Enclosed are:

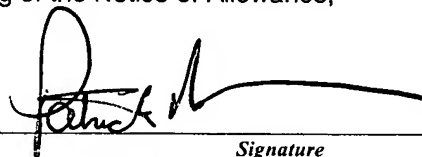
- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL 960899872 US
☒ 10 sheets of drawings.
☐ A certified copy of a application.
☒ Declaration ☐ Signed. ☒ Unsigned.
☒ Power of Attorney
☐ Information Disclosure Statement
☐ Preliminary Amendment
☐ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
☐ Other:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	19	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	5	- 3 =	2	x \$43.00	\$86.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$385.00
TOTAL FILING FEE					\$471.00

- ☒ A check in the amount of \$471.00 to cover the filing fee is enclosed.
☒ The Director is hereby authorized to charge and credit Deposit Account No. 13-2165 as described below.
☐ Charge the amount of as filing fee.
☒ Credit any overpayment.
☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 14, 2003


Signature

Patrick H. Higgins,
Attorney for Applicants
Reg. No. 39,709
Mathews, Collins, Shepherd & McKay, P.A.
100 Thanet Circle, Suite 306
Princeton, NJ 08540
(609) 924-8555 Telephone
(609) 924-3036 Facsimile

cc:

"EXPRESS MAIL CERTIFICATE"

"Express Mail" mailing label number: EL 960899872 US

Express Mail Corporate Account Number: X088384

Date of Deposit: November 14, 2003

Type of Documents:

1. Acknowledgment Post Card;
2. "Express Mail" Certificate;
3. Transmittal Letter;
4. Specification (30 pages), Claims (10 pages),
Abstract of the Disclosure (1 page);
5. 10 Sheets of Informal Drawings;
6. Declaration and Power of Attorney (unsigned); and
7. Check for \$471.00.

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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